



IFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Kawachiya et al.

Serial No.: 10/691,717

Filed: October 23, 2003

For: EXCLUSION CONTROL

Date: November 18, 2004

Group Art Unit: 2171

Examiner: Not yet assigned

Docket No.: JP920020196US1

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)**

I hereby certify that the attached correspondence comprising:

Information Disclosure Statement  
PTO Form 1449 and copy of references cited therein  
Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450.**

on November 18, 2004

Allison Berkman  
(Type or printed name of person mailing paper or fee)

Allison Berkman  
(Signature of person mailing paper or fee)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Kawachiya et al.

Serial No.: 10/691,717

Filed: October 23, 2003

For: EXCLUSION CONTROL

Date: November 18, 2004

Group Art Unit: 2171

Examiner: Not yet assigned

Docket No.: JP920020196US1

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In order to assist the examination of this application, and in accordance and compliance with 37 CFR 1.56, 1.97 and 1.98, PTO-1449 is attached herewith.

Respectfully submitted,

Louis P. Herzberg  
Reg. No. 41,500  
Tel. (914) 945-2885

IBM CORPORATION  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598  
Tel: 914 945-2885  
Fax: 914 945-3281

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO.: JP920020196US1	SERIAL NO.: 10/691,717
	APPLICANT: Kawachiya et al.	
(Use several sheets if necessary)	FILING DATE: 10/23/03	GROUP: 2171



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AI							
	AJ							
	AK							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AL	Onodera et al. "A STUDY OF LOCKING OBJECTS WITH BIMODAL FIELDS," IBM Research, Tokyo Research Laboratory.
AM	Kawachiya et al. "LOCK RESERVATION: JAVA LOCKS CAN MOSTLY DO WITHOUT ATOMIC OPERATIONS," IBM Research, Tokyo Research Laboratory.
AN	
AO	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.